



2632

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: ) Docket Number: **K047 1180.1**  
**STEVE D. SINGLETON** )  
Serial No: **10/079,920** ) Art Unit: **2632**  
Filed: **February 20, 2002** ) Examiner: **Tong, Nina C.**  
For: **ASSET MANAGEMENT SYSTEM**

**SIXTH INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby voluntarily discloses the items listed on the attached Form PTO/SB/08A to the Commissioner for Patents. Pursuant to 37 CFR 1.98(a)(2)(i), copies of items A-D are not enclosed.

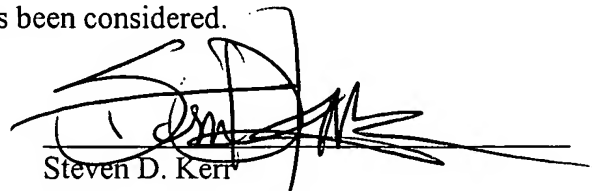
Copies of the items listed on the attached Form PTO/SB/08A are being submitted at this seemingly late date because they only recently became known to an individual associated with the filing or prosecution of the above-identified application; a certification, as specified in paragraph (e) of 37 CFR 1.97, is enclosed herewith.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. This statement further should not be construed as a representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

Date:

April 7, 2005

A handwritten signature in black ink, appearing to read "Steven D. Kerr", is written over a horizontal line.

Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: **K047 1180.1**



**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: ) Docket Number: **K047 1180.1**  
**STEVE D. SINGLETON** )  
Serial No: **10/079,920** ) Art Unit: **2632**  
Filed: **February 20, 2002** ) Examiner: **Tong, Nina C.**  
For: **ASSET MANAGEMENT SYSTEM**

**37 CFR 1.97(e) CERTIFICATION**

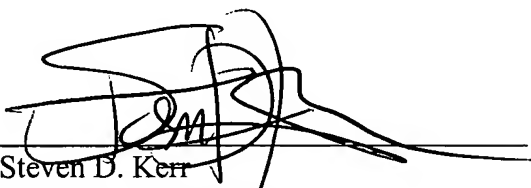
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being submitted, in accordance with 37 CFR 1.97, with the Sixth Information Disclosure Statement enclosed herewith. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office regarding a related foreign application or was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Date:

*April 7, 2005*

  
Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: **K047 1180.1**



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being mailed in an envelope by First Class mail to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

on 4/11/05

Suzanne Skinner  
(Typed or printed name of person mailing correspondence)

Suzanne Skinner  
(Signature of person mailing correspondence)

Serial Number: 10/079,920

Filing Date: February 20, 2002

Title: ASSET MANAGEMENT SYSTEM

Our Reference Number: K047 1180.1

6<sup>th</sup> Information Disclosure Statement  
37 CFR 1.97(e) Certification  
Form PTO/SB/08A (1 Page)  
Return Postcard

